

#2/ Ltr to
draftsman
4/3/01
PATENT ✓ Short

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Li Li

Serial No.: 09/645,903

Filed: August 25, 2000

For: RESIDUE-FREE CONTACT
OPENINGS AND METHODS FOR
FABRICATING SAME

Examiner: Unknown

Group Art Unit: 2822

Attorney Docket No.: 3361.2US (97-663.2)

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

February 8, 2001
Date of Deposit

Samuel Webb
Signature of registered practitioner or other person
having reasonable basis to expect mailing to occur
on date of deposit shown pursuant to 37 C.F.R. §
1.8(a)(1)(ii)

Samuel E. Webb
Typed/printed name of person whose signature is
contained above

LETTER TO THE CHIEF DRAFTSMAN

Box Non-Fee Amendment
Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant submits herewith revised FIGS. 11-14 which correct errors in the drawings. Specifically, FIGS. 11-14 have been revised to add the designation "Prior Art".

BEST AVAILABLE COPY

CAU 2822

PATENT

Attorney Docket No. 3361.2US (97-663.2)

CERTIFICATE OF MAILING (under 37 C.F.R. § 1.10 if checked)

I hereby certify that this transmittal along with all items checked below is being deposited with the United States Postal Service

- ☒ as first class mail, postage prepaid (37 C.F.R. § 1.8)
☐ "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10
 Express mail label mailing number:

on the date inserted below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Samuel E. Webb
 Typed name of person mailing transmittal

February 8, 2001
 Date of Deposit

Samuel Webb
 Signature of person mailing transmittal

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Unknown

Group Art Unit No.: 2822

Applicant(s): Li Li

Filing date: August 25, 2000

Serial No.: 09/645,903

For (title): RESIDUE-FREE CONTACT OPENINGS AND METHODS
 FOR FABRICATING SAME



COMMUNICATION TRANSMITTAL

Commissioner for Patents
 Washington, D.C. 20231

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

- ☒ Postcard receipt acknowledgment (attached to the front of this transmittal).
☒ Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16. Any such fees may be charged to deposit account no. 20-1469.
☐ Check no. in the amount of \$ for the presentation of extra claims as calculated in the remarks section below.
☒ Preliminary amendment with Appendix A (containing paragraphs [0001] through [0028]), Appendix B (containing paragraphs [0001] through [0028]), Appendix C (containing currently pending claims 1 - 9), and Appendix D (containing amended claims 1 - 9).
☐ Amendment or other communication in response to the non-final office action mailed.
☐ Amendment or other communication under 37 C.F.R. § 1.116 in response to the final office action mailed.
☐ Petition for Extension of Time in duplicate with check no. in the amount of \$.
☐ Verified statement(s) to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27 signed by (or on behalf of).
☒ Information disclosure statement and information disclosure citation form PTO-1449 with copies of listed documents.
☒ Letter to the Chief Draftsman and attached revised drawings with annotations in red (4 sheets, 4 figures).

Remarks:

- ☒ An amendment has been made involving one or more claims in the application. The calculation to determine whether any additional fee is due is presented below.

	1	2	3		\$EXTRA
Total claims	9	-	9	=	0 x 18.00 =
Indep. claims	9	-	9	=	0 x 78.00 =
First presentation of a multiple dep. claim (+260.00)					
SUBTOTAL					0
Reduction for small entity - 50% of subtotal*					
TOTAL ADDITIONAL FEE (subtotal minus any reduction)					0

*Verified statement(s) must be attached to support this reduction if small entity status has not been previously established.

- 1 Claims remaining after amendment.
 2 Highest number of claims previously paid for. Not less than 20 for total claims and 3 for independent claims.
 3 Difference between claims remaining and highest number previously paid for. If less than zero, enter "0."

- ☒ The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

Respectfully submitted,

Samuel Webb

Samuel E. Webb
 Reg. No. 44,394
 TRASK BRITT
 P.O. Box 2550
 Salt Lake City, UT 84110-2550
 (801) 532-1922

Date: February 8, 2001

Enclosures: As identified above

N:\2269\3361.2\Communication Transmittal.wpd

RECEIVED
 FEB 14 2001
 TC 2800 MAIL ROOM